ORDER

DEPARTMENT OF TRANSPORTATION
FEDERAL AVIATION ADMINISTRATION

8430.20

1/29/82

SUBJ: PART 121 APPENDIX H RESTRICTED AIRLINE TRANSPORT PILOT CERTIFICATES

1. PURPOSE. This order establishes procedures for the issuance of limited pilot certificates obtained under the provisions of Phase IIA of the Advanced Simulation Plan (Amendments 61-69, and 121-161).

2. DISTRIBUTION. This order is distributed to all Flight Operations offices in Washington, Flight Standards offices in regions, Flight Standards National Field Office (to the branch level), and to all Air Carrier, General Aviation, and Flight Standards District Offices.

3. BACKGROUND. Information in this order was previously issued as Notice 8430.335 dated November 5, 1980. Appendix H to Part 121, which was established by Amendment 121-161, describes a three phase plan whereby an operator may upgrade its present simulators or purchase new simulators so that an increased amount of pilot training and checking can be accomplished in those simulators. One aspect of this plan is described in Phase IIA. Phase IIA is an interim phase which permits an operator to utilize a simulator approved under Phase I to accomplish the training and checking allowed under Phase II. Phase IIA exists to encourage operators to participate in the advanced simulation plan and to offer an incentive for the continued upgrading of simulators approved under Phase I. Phase IIA requires that each participating pilot in command receive operating experience including 25 flight hours and 5 landings and that each second in command receive 15 flight hours and 3 landings following the training received under that phase. To assure that participating pilots who receive airline transport pilot certificates and associated type ratings under Phase IIA do not exercise the privileges of that certificate or rating outside of Part 121 operations, it will be necessary to place a limitation on such certificates until the operating experience requirements of Phase IIA have been met.

4. ACTION.

a. Any inspector who issues an airline transport pilot certificate (ATPC) with an associated type rating on that certificate based on a check conducted in a Phase 1 simulator under the provisions of Phase IIA of Part 121, Appendix H, shall place the following restriction on the Temporary Airman Certificate, FAA Form 8060-4: "ATPC and (airplane type as appropriate) privileges valid for FAR Part 121 operations only." See Appendix 1 for an example. If a type rating is added to an existing ATPC under the provisions of Phase IIA of Part 121, Appendix H, the following restriction will be placed on the certificate: "(Airplane type as appropriate) rating valid for FAR Part 121 operations only." See Appendix 2 for an example. Issuance of a limited certificate under the provisions of this order should not result in deletion of any previously issued privileges or ratings. The certificate and associated paperwork should be processed through the Airman

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Certification Branch at Oklahoma City in the normal manner. The blocks next to "aircraft flight check" in the evaluator's record section of the Airman Certificate and/or Rating Application, FAA Form 8410-2, should not be checked. The statement "Phase IIA" should be entered next to the words "Simulator Check" and N/R (Not Required) should be entered on the signature line next to "aircraft flight check." See Appendix 3 for an example.

b. A pilot who is issued a restricted certificate should be instructed to report to the district office with proof that he/she has completed the operator experience requirements of Phase IIA for removal of the restriction. At that time, the restricted certificate should be surrendered and a new temporary certificate issued without the restriction. A copy of the new temporary and the old certificate should be sent to the Airman Certification Branch along with a new FAA Form 8410-2. The "reissuance of certificate" block at the top of this form should be checked. On the back of the form in the remarks section the following entry should be made: "Phase IIA operating experience completed (Date)." (See Appendix 4.)

William A. Kleiber

WILLIAM A. KLEIBER
Acting Chief, Air Transportation Division
Office of Flight Operations

APPENDIX 1. TEMPORARY AIRMAN CERTIFICATE, FAA FORM 8060-4

I. UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION – FEDERAL AVIATION ADMINISTRATION

ii. **TEMPORARY AIRMAN CERTIFICATE**

III. CERTIFICATE NO. 242-76-7809

THIS CERTIFIES THAT IV. JOHN ALFRED DOE
V. 113 APPLE LANE
ATLANTA, GA 30301

DATE OF BIRTH	HEIGHT	WEIGHT	HAIR	EYES	SEX	NATIONALITY VI.
5-10-43	71 IN.	170	BROWN	BROWN	M	USA

IX. has been found to be properly qualified and is hereby authorized in accordance with the conditions of issuance on the reverse of this certificate to exercise the privileges of

AIRLINE TRANSPORT PILOT

RATINGS AND LIMITATIONS

XII. AIRPLANE MULTIENGINE LAND B-727
COMMERCIAL PRIVILEGES = AIRPLANE MULTI & SINGLE ENGINE LAND

ATPC AND B-727 PRIVILEGES VALID FOR FAR PART 121

XIII. OPERATIONS ONLY

THIS IS ☒ AN ORIGINAL ISSUANCE ☐ A REISSUANCE OF THIS GRADE OF CERTIFICATE

DATE OF SUPERSEDED AIRMAN CERTIFICATE

BY DIRECTION OF THE ADMINISTRATOR

X. DATE OF ISSUANCE: 10/1/80

X. SIGNATURE OF EXAMINER OR INSPECTOR: H. R. BROWN

EXAMINER'S DESIGNATION NO. OR INSPECTOR'S REG. NO.: ASO-ACDO-31

DATE DESIGNATION EXPIRES

vii. AIRMAN'S SIGNATURE

FAA Form 8060-4 (8-79) USE PREVIOUS EDITION

APPENDIX 2. TEMPORARY AIRMAN CERTIFICATE, FAA FORM 8060-4

I. UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION – FEDERAL AVIATION ADMINISTRATION

ii. **TEMPORARY AIRMAN CERTIFICATE**

III. CERTIFICATE NO. 242-76-7809

THIS CERTIFIES THAT IV. JOHN ALFRED DOE
V. 113 APPLE LANE
ATLANTA, GA 30301

DATE OF BIRTH	HEIGHT	WEIGHT	HAIR	EYES	SEX	NATIONALITY VI.
5-10-43	71 IN.	170	BROWN	BROWN	M	USA

IX. has been found to be properly qualified and is hereby authorized in accordance with the conditions of issuance on the reverse of this certificate to exercise the privileges of

AIRLINE TRANSPORT PILOT

RATINGS AND LIMITATIONS

XII. AIRPLANE MULTIENGINE LAND = B-727, B-747
COMMERCIAL PRIVILEGES = AIRPLANE SINGLE ENGINE LAND
B-747 PRIVILEGES VALID FOR FAR PART 121 OPERATIONS ONLY

XIII.

THIS IS ☐ AN ORIGINAL ISSUANCE ☒ A REISSUANCE OF THIS GRADE OF CERTIFICATE

DATE OF SUPERSEDED AIRMAN CERTIFICATE

BY DIRECTION OF THE ADMINISTRATOR

X. DATE OF ISSUANCE: 11/3/80

X. SIGNATURE OF EXAMINER OR INSPECTOR: H. R. BROWN

EXAMINER'S DESIGNATION NO. OR INSPECTOR'S REG. NO.: ASO-ACDO-31

DATE DESIGNATION EXPIRES

vii. AIRMAN'S SIGNATURE

FAA Form 8060-4 (8-79) USE PREVIOUS EDITION

APPENDIX 3. AIRMAN CERTIFICATE AND/OR RATING APPLICATION, FAA FORM 8410-2

Form Approved. OMB No. 04-R0102

DEPARTMENT OF TRANSPORTATION—FEDERAL AVIATION ADMINISTRATION

AIRMAN CERTIFICATE AND/OR RATING APPLICATION

☐ AIRLINE TRANSPORT PILOT ☐ ADDITIONAL AIRCRAFT RATING ☐ REISSUANCE OF CERTIFICATE

TYPE OF AIRCRAFT TO BE USED | TIME IN THIS AIRCRAFT HRS.

1. APPLICANT IDENTIFICATION

A. NAME *(First, Middle, Last)*

B. [illegible] | C. DATE OF BIRTH | D. HEIGHT IN. | E. WEIGHT

F. HAIR | G. EYES | H. SEX | I. NATIONALITY

J. PLACE OF BIRTH

K. PERMANENT MAILING ADDRESS NUMBER AND STREET, P.O. BOX, ETC.

CITY, STATE, ZIP CODE

COUNTY

2. CERTIFICATE OR RATING APPLIED FOR ON BASIS OF:

☐ A. HOLDER OF A COMMERCIAL PILOT CERTIFICATE

☐ B. MILITARY EXPERIENCE OBTAINED IN | (1) SERVICE | (2) DATE RATED | (3) RANK AND SERIAL NUMBER

☐ C. HOLDER OF FOREIGN LICENSE ISSUED BY | (1) COUNTRY | (2) GRADE OF LICENSE | (3) NUMBER | (4) RATINGS

3. APPLICANT'S CERTIFICATION: I certify that I meet all pertinent requirements of the regulations for the certificate or rating applied for. | DATE | APPLICANT'S SIGNATURE

INSTRUCTOR'S RECOMMENDATION

I consider the above applicant ready to take the test for which he is applying.

DATE	INSTRUCTOR'S SIGNATURE	INSTRUCTOR'S CERTIFICATE NO. & EXPIRATION DATE	GRADE OF CERTIFICATE & NO.

EVALUATOR'S RECORD

	INSPECTOR	EXAMINER	SIGNATURE	DATE
ORAL	☐	☐		
SIMULATOR CHECK PHASE IIA	☐	☐		
AIRCRAFT FLIGHT CHECK	☐	☐	N/R	

INSPECTOR'S REPORT

☐ Temporary Airmen Certificate Issued ☐ Notice of Disapproval of Application Issued ☐ Examiner's Action Accepted

DATE | INSPECTOR'S SIGNATURE | FAA OFFICE

Emp	reg	D.O.	seal	con	iss	Act	lev	TR	s.h.	Srch	#rte

RATING (1)	RATING (2)	RATING (3)

RATING (4)	RATING (5)	RATING (6)	RATING (7)	RATING (8)

RATING (9)	RATING (10)	RATING (11)	RATING (12)	RATING (13)

LIMITATIONS

FAA FORM 8410-2 (2-76) Supersedes previous edition

APPENDIX 4. AIRMAN CERTIFICATE AND/OR RATING APPLICATION, FAA FORM 8410-2 (FRONT)

Form Approved. OMB No. 04-R0102

DEPARTMENT OF TRANSPORTATION—FEDERAL AVIATION ADMINISTRATION

AIRMAN CERTIFICATE AND/OR RATING APPLICATION

☐ AIRLINE TRANSPORT PILOT ☐ ADDITIONAL AIRCRAFT RATING ☒ REISSUANCE OF CERTIFICATE

TYPE OF AIRCRAFT TO BE USED | TIME IN THIS AIRCRAFT HRS.

1. APPLICANT IDENTIFICATION

A. NAME *(First, Middle, Last)*

C. DATE OF BIRTH | D. HEIGHT IN. | E. WEIGHT

F. HAIR | G. EYES | H. SEX | I. NATIONALITY

J. PLACE OF BIRTH

K. PERMANENT MAILING ADDRESS NUMBER AND STREET, P.O. BOX, ETC.

CITY, STATE, ZIP CODE

COUNTY

2. CERTIFICATE OR RATING APPLIED FOR ON BASIS OF:

☐ A. HOLDER OF A COMMERCIAL PILOT CERTIFICATE

☐ B. MILITARY EXPERIENCE OBTAINED IN | (1) SERVICE | (2) DATE RATED | (3) RANK AND SERIAL NUMBER

☐ C. HOLDER OF FOREIGN LICENSE ISSUED BY | (1) COUNTRY | (2) GRADE OF LICENSE | (3) NUMBER | (4) RATINGS

3. APPLICANT'S CERTIFICATION: I certify that I meet all pertinent requirements of the regulations for the certificate or rating applied for. | DATE | APPLICANT'S SIGNATURE

INSTRUCTOR'S RECOMMENDATION

I consider the above applicant ready to take the test for which he is applying.

DATE | INSTRUCTOR'S SIGNATURE | INSTRUCTOR'S CERTIFICATE NO. & EXPIRATION DATE | GRADE OF CERTIFICATE & NO.

EVALUATOR'S RECORD

	INSPECTOR	EXAMINER	SIGNATURE	DATE
ORAL	☐	☐		
SIMULATOR CHECK	☐	☐		
AIRCRAFT FLIGHT CHECK	☐	☐		

INSPECTOR'S REPORT

☐ Temporary Airman Certificate Issued ☐ Notice of Disapproval of Application Issued ☐ Examiner's Action Accepted

DATE | INSPECTOR'S SIGNATURE | FAA OFFICE

Emp	reg	D.O.	seal	con	iss	Act	lev	TR	s.h.	Srch	#rte	RATING (1)	RATING (2)	RATING (3)

RATING (4)	RATING (5)	RATING (6)	RATING (7)	RATING (8)

RATING (9)	RATING (10)	RATING (11)	RATING (12)	RATING (13)

LIMITATIONS

FAA FORM 8410-2 (2-76) Supersedes previous edition

APPENDIX 4. AIRMAN CERTIFICATE AND/OR RATING APPLICATION FAA FORM 8410-2 (REVERSE)

FLIGHT MANEUVERS

GRADING LEGEND *(All applicable items must be graded S, U or W)*
S–SATISFACTORY U–UNSATISFACTORY W–WAIVED

	INSPECTOR/EXAMINER	
PREFLIGHT	**AIRCRAFT**	**SIMULATOR**
1. EQUIPMENT EXAMINATION *(oral)*		
2. *PREFLIGHT INSPECTION		
3. TAXIING		
4. POWERPLANT CHECKS		
TAKEOFFS		
5. NORMAL		
6. INSTRUMENT		
7. CROSSWIND		
8. WITH SIMULATED POWERPLANT FAILURE		
9. *REJECTED TAKEOFF		
INSTRUMENT PROCEDURES		
10. *AREA DEPARTURE		
11. *HOLDING		
12. *AREA ARRIVAL		
13. ILS APPROACHES		
14. OTHER INSTRUMENT APPROACHES		
15. CIRCLING APPROACHES		
16. MISSED APPROACHES		
INFLIGHT MANEUVERS		
17. *STEEP TURNS		
18. *APPROACHES TO STALLS		
19. *SPECIFIC FLIGHT CHARACTERISTICS		
20. POWERPLANT FAILURE		
LANDINGS		
21. NORMAL		
22. FROM AN ILS		
23. CROSSWIND		
24. WITH SIMULATED POWERPLANT(S) FAILURE		
25. REJECTED LANDING		
26. NO FLAP APPROACH		
27. FROM CIRCLING APPROACH		
28. ACCURACY APPROACHES AND SPOT LANDINGS *(Single powerplant rating only)*		
29. HOVERING MANEUVERS		
30. RAPID DECELERATIONS *(Quick stops)*		
31. AUTOROTATIONS *(Single engine helo, only)*		
32. NORMAL AND ABNORMAL PROCEDURES		
33. EMERGENCY PROCEDURES		
34. JUDGEMENT		

Items that may be waived are indicated by an asterisk (*). For further information see appendix A, FAR 61.

REMARKS

PHASE IIA OPERATING EXPERIENCE COMPLETED (DATE).